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U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

**UTILITY PATENT APPLICATION  
TRANSMITTAL LETTER  
UNDER 37 C.F.R. 1.53(b)**

ATTORNEY DOCKET NO.:  
10020/20702

Address to:  
Assistant Commissioner for Patents  
Washington D.C. 20231  
Box Patent Application

10/16/01  
09/978455  
19986 U.S. PTO

Transmitted herewith for filing is the patent application of

Inventor(s): **Sergey LAMANSKY; Mark E. THOMPSON; Vadim ADAMOVICH, Peter I. DJUROVICH; Chihaya ADACHI; Marc A. BALDO; Stephen R. FORREST and Raymond KWONG.**

For: **ORGANOMETALLIC COMPOUNDS AND EMISSION-SHIFTING  
ORGANIC ELECTROPHOSPHORESCENCE**

Enclosed are:

1. **70** sheets of specification, **32** sheets of claims, and **1** sheet of abstract.
2. **49** sheets of drawings.
3. Declaration (unexecuted).
4. Related application/Continuing application information:

The present application is a Continuation-in-Part of U.S. Patent Application Serial No. 09/637,766, filed **August 11, 2000** and also claims the benefit, under 35 U.S.C. § 119(e) of U.S. Provisional Application No. 60/283,814, filed on **April 13, 2001**.

5. Applicants hereby claim Small Entity Status. See 37 C.F.R. §1.27.
6. The filing fee has been calculated as shown:

	NUMBER FILED	NUMBER EXTRA*	RATE (\$)	FEE (\$)
BASIC FEE				740.00
TOTAL CLAIMS	30	- 20 =	10	18.00
INDEPENDENT CLAIMS	7	- 3 =	4	84.00
MULTIPLE DEPENDENT CLAIM PRESENT				280.00
Number extra must be zero or larger			TOTAL	1,256.00
If applicant is a small entity under 37 C.F.R. §§ 1.9 and 1.27, then divide total fee by 2, and enter amount here.			SMALL ENTITY TOTAL	628.00

7. Please charge the required application filing fee of \$628.00 to the deposit account of **Kenyon & Kenyon**, deposit account number **11-0600**.
8. The Commissioner is hereby authorized to charge payment of the following fees, associated with this communication or arising during the pendency of this application, or to credit any overpayment to the deposit account of **Kenyon & Kenyon**, deposit account number **11-0600**:
  - A. Any additional filing fees required under 37 C.F.R. § 1.16;
  - B. Any additional patent application processing fees under 37 C.F.R. § 1.17;
  - C. Any additional patent issue fees under 37 C.F.R. § 1.18;
  - D. Any additional document supply fees under 37 C.F.R. § 1.19;
  - E. Any additional post-patent processing fees under 37 C.F.R. § 1.20; or
  - F. Any additional miscellaneous fees under 37 C.F.R. § 1.21.
9. A duplicate copy of this sheet is enclosed.

Dated: October 16, 2001

By:

  
Kevin T. Godlewski (Reg. No. 47,598)

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TYPE OF DOCUMENT CIP Application

RE: LAMAWSKY, Sergey, et al

SERIAL NO. To be assigned FILING DATE Herewith

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Organometallic Compounds And Emission-Shifting  
Organic Electrophosphorescence